(19) World Intellectual Property Organization International Bureau



(43) International Publication Date 9 November 2006 (09.11,2006)

(10) International Publication Number WO 2006/118790 A3

(51) International Patent Classification: *A61N 1/36* (2006.01) *A61F 5/00* (2006.01)

(21) International Application Number:

PCT/US2006/014680

(22) International Filing Date: 18 April 2006 (18.04.2006)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data: 11/118,980

29 April 2005 (29.04.2005) US

- (71) Applicant (for all designated States except US): CY-BERONICS, INC. [US/US]; 100 Cyberonics Blvd, Houston, TX 77058 (US).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): MASCHINO, Steven, E. [US/US]; 2501 Sand Bar Court, Seabrook, TX 77586 (US). PARNIS, Steven, M. [US/US]; 3110 Edgewood Drive, Pearland, TX 77584 (US). ARMSTRONG, Scott, A.; 2125 Counry Rd. 33, Danbury, TX 77534 (US).
- (74) Agent: SCOTT, Timothy, L.; 100 Cyberonics Blvd., Houston, TX 77058 (US).
- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM,

AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, LY, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SM, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK. EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LT, LU, LV, MC, NL, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Declarations under Rule 4.17:

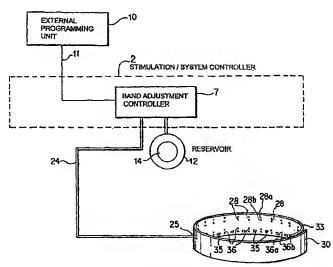
- as to applicant's entitlement to apply for and be granted a
 patent (Rule 4.17(ii))
- as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii))
- of inventorship (Rule 4.17(iv))

Published:

— with international search report

[Continued on next page]

(54) Title: NONINVASIVELY ADJUSTABLE GASTRIC BAND



(57) Abstract: A method and apparatus for treatment of an eating disorder includes electrically, mechanically and/or pharmaceutically/chemically stimulating a of the vagus nerve of the lower esophagus, cardia, esophageal/cardia junction, cardia/fundus junction or upper stomach so as to induce afferent action potentials on the vagus nerve. The device may be noninvasively adjusted after implantation to provide increased or decreased restriction on the patient's gastrointestinal tract. Each stimulus may be administered as a series of programmed pulses of defined amplitude, duration and period, to evoke a responsive signal to the brain by the target nerve, effective for producing a temporary feeling of satiety in the person. An implantable stimulus generator may be operatively coupled to a nerve electrode, pressure device or chemical outlet to apply a defined signal to a selected nerve branch. The implantable stimulus generator is programmable to allow clinician programming of defined signal parameters effective to treat the eating disorder of the patient. Methods are also provided to identify electrodes nearest to a branch of the vagus nerve to apply an electrical stimulation signal with improved efficiency.

WO 2006/118790 A3



(88) Date of publication of the international search report: 22 March 2007

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

A. CLASSIFICATION OF SUBJECT MATTER INV. A61N1/36 A61F5/00

According to International Patent Classification (IPC) or to both netional classification and IPC

B. FIELDS SEARCHED

 $\begin{array}{ll} \mbox{MinImum documentation searched (classification system followed by classification symbols)} \\ \mbox{A61N} & \mbox{A61F} \end{array}$

Documentation searched other than minimum documentation to the extent that such documents are included. In the fields searched

Electronic data base consulted during the International search (name of data base and, where practical, search terms used)

EPO-Internal

C. DOCUM	ENTS CONSIDERED TO BE RELEVANT	
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 2005/007232 A (IMPULSE DYNAMICS NV; BEN HAIM, SHLOMO; POLICKER, SHAI; BITON, OFIR; HA) 27 January 2005 (2005-01-27) page 23, line 19 - page 25, line 9 page 26, lines 3-15 page 35, lines 10-20 page 36, lines 1-27 page 38, line 26 - page 39, line 2	18, 21-27, 29, 31-36,38
X	US 6 450 173 B1 (FORSELL PETER) 17 September 2002 (2002-09-17) the whole document	18,19, 21, 33-36,38

Further documents are listed in the continuation of Box C.	X See patent family annex.
* Special categories of cited documents: "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority cleim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed	"T" later document published after the international filing date or priority date end not in conflict with the epplication but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "&" document member of the same patent family
Date of the actual completion of the international search	Date of malling of the international search report
10 October 2006	16/10/2006
Name and mailing eddress of the ISA/ European Patent Office, P.B. 5818 Patentiaan 2 NL – 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl.	Authorized officer
Fax: (+31-70) 340-2040, 1X. 31 651 epo III,	Ferrigno, Antonio

		101/032000/014000
C(Continua	tion). DOCUMENTS CONSIDERED TO BE RELEVANT	
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5 188 104 A (WERNICKE ET AL) 23 February 1993 (1993-02-23) cited in the application the whole document	23-27, 29-32
Α	WO 2004/069330 A (ENTEROMEDICS INC; KNUDSON, MARK, B; CONRAD, TIMOTHY, R; EVNIN, LUKE, B) 19 August 2004 (2004-08-19) abstract page 14, lines 6,7 page 15, lines 10-27 page 16, line 35 - page 18, line 25 page 23, line 6 - page 25, line 38	23-26, 29-32
Α	US 5 690 691 A (CHEN ET AL) 25 November 1997 (1997–11–25) cited in the application the whole document	26,27, 30-32
A	US 2003/208212 A1 (CIGAINA VALERIO) 6 November 2003 (2003-11-06) cited in the application the whole document	18,22, 23,25

INTERNATIONAL SEARCH REPORT

Box	Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)
This l	nternational Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1. X	Claims Nos.: 1–17 because they relate to subject matter not required to be searched by this Authority, namely:
	Rule 39.1(iv) PCT — Method for treatment of the human or animal body by surgery and therapy
2.	Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
з. [Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Вох	III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)
This I	international Searching Authority found multiple Inventions in this International application, as follows:
1.	As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. [As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3.	As only some of the required additional search fees were timely paid by the applicant, this international Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4.	No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Rem	The additional search fees were accompanied by the applicant's protest. No protest accompanied the payment of additional search fees.
1	

Information on patent family members

PCT/US2006/014680

Patent document cited in search report		Publication date		Patent family member(s)	Publication date
WO 2005007232	Α	27-01-2005	EP	1646423 A2	19-04-2006
US 6450173	B1	17-09-2002	NONE		
US 5188104	A	23-02-1993	AU AU CA DE DE EP WO	657736 B2 1346992 A 2100872 A1 69229499 D1 69229499 T2 0569522 A1 9213592 A1	23-03-1995 07-09-1992 02-08-1992 05-08-1999 04-05-2000 18-11-1993 20-08-1992
WO 2004069330	Α	19-08-2004	AU EP	2004209978 A1 1603634 A1	19-08-2004 14-12-2005
US 5690691	Α	25-11-1997	AU WO	2827497 A 9741921 A1	26-11-1997 13 - 11-1997
US 2003208212	A1	06-11-2003	NONE		